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### Addendum Caveat

University of Phoenix published this 2022 Annual Security Report – Phoenix Main Campus – Addendum on September 29, 2023, to account for a change in the crime statistics table. The Phoenix Police Department reported in 2021 two on-campus arrests for drug abuse violations. We previously included these incidents in the 2020 column, the year in which the violations occurred. However, under the Clery Act, crimes must be presented by the year in which they are reported. The statistical table has been updated by removing these two incidents from the 2020 on-campus column and entering them into the 2021 on-campus column. The Data from Law Enforcement Agencies section was also updated for clarification on statistics reported in 2019, 2020, and 2021.

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you can feel safe and comfortable knowing that security procedures are in place that represent best practices in the field and are constantly tested and re-evaluated for their effectiveness.

UOPX has a centralized campus security department located at the Phoenix Main Campus that establishes policy, protection strategies, and response strategies. The jurisdiction of the campus security department extends just beyond the on-campus property boundaries of the Phoenix Main Campus (refer to the

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the <u>Counseling Skills Center</u> page at <a href="http://www.phoenix.edu/students/counseling-skills-centers.html">http://www.phoenix.edu/students/counseling-skills-centers.html</a>. To make an appointment at the Counseling Skills Center at the Phoenix Main Campus in Phoenix, AZ please call (602) 557-2217. To make an appointment at the Counseling Skills Center at the Southern California Campus Main in Ontario, CA please call (909) 472-3798.

Crime victims seeking professional counseling through the Counseling Skills Centers will have their information kept in confidence. Even if a victim gives the professional counselor permission to release their identity, the counselor may only report the occurrence of the event itself to be included in the annual crime statistics, unless otherwise required by law.

UOPX does not have pastoral counselors, and it does not have policies or procedures that encourage its professional counselors, if and when they deem it appropriate, to inform the person they are counseling to report crimes on a voluntary, confidential basis for inclusion in the annual report of crime statistics.

The Phoenix Main Campus, like all UOPX locations, does not have any on-campus student housing facilities (e.g., residence halls) and is usually accessible to the public during normal business hours; however, classrooms and office buildings are generally locked when not in use. Only faculty and staff members have access to private areas and secured administrative and institutional facilities. This restricted access is obtained using an electronic access control badging system or security locks. Students must sign in at the Student Resource Center (SRC), and non-employees must be escorted by an employee throughout their visit to any restricted access area. Certain areas (i.e., Faculty Resource Center and IT Support rooms) have secured access for all non-authorized personnel.

Students, faculty, and staff are asked to be alert and to not circumvent practices and procedures that are meant to preserve their safety and that of others:

Do not prop doors open or allow strangers into campus buildings that have been secured.

Do not lend keys or access cards to anyone, and do not leave them unattended.

Do not give access codes to anyone who does not belong to the campus community.

Keys to the efficies, sesource centers, laboratories, and classrooms at the Phoenix Main Campus will be issued to employees only as needed and after receiving the proper authorization. Each department supervisor is responsible for assuring their area is secured and locked.

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Intrusion alarm

Duress button

On-site duress notification

Window blinds

"No Weapons" signage

On-site safety escort services, upon request

Regular patrols of campus grounds conducted by security personnel

### Security Considerations in the Maintenance of Facilities

A CSA and/or Campus Safety Coordinator ensures campus maintenance issues that may affect safety or enhance criminal activity are resolved. A CSA and/or Campus Safety Coordinator must notify the appropriate facilities manager and request prompt attention to the problem.

University facilities management personnel maintain and repair campus facilities. This includes repairs to defective doors and locking mechanisms. Exterior lighting is another important part of our commitment to campus safety. CSAs and/or Campus Safety Coordinators regularly check to ensure landscaping is not overgrown, pathways are well lit, and egress lighting is working in hallways and stairwells. Safety and security equipment such as alarms, video surveillance, and emergency call buttons are audited regularly by the SOC to ensure that the equipment is functioning. We ask that you promptly report any problems or hazards you notice to a CSA and/or Campus Safety Coordinator.

UOPX seeks to enhance the security of its campus and the members of embes.216 Ohe

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In addition to coordinating these safety events, the Campus Safety Coordinator makes a good-faith effort to inform new and existing personnel of security reporting procedures. Staff members who have regular interaction with the campus community are informed that students may approach them with crime-related incidents that need to be reported quickly to a CSA and/or Campus Safety Coordinator.

New employees are also required to complete the "Campus Safety: Awareness, Prevention, and Action" online course within 30 days of employment. In this online course, employees learn about the role of CSAs and the necessity to report crimes.

### Safety Awareness and Crime Prevention

Part of crime prevention is being alert to and aware of your immediate environment. Here are some important ways you can help keep yourself – and the Phoenix Main Campus – more safe and secure:

Roll up windows and lock your car.

Always take and keep your car keys with you.

At night, travel in well-lighted areas and in pairs, if possible; avoid short cuts and deserted areas.

Walk with a sense of purpose – show you are calm, aware, confident, and know where you are going.

Scan the area, around and inside your car, before entering.

Do not leave valuable items visible inside your car, including personal items and school related materials such as textbooks.

Do not park in isolated or poorly lit areas.

Leave valuable items at home.

Do not leave your personal property unattended.

Do not carry more cash than necessary.

Keep your purse, backpack, or briefcase close to your body.

Mark personal items that you bring on campus, including textbooks, laptops, and calculators with your name or some other traceable identification.

Never bring any kind of weapon onto UOPX property.

UOPX routinely provides safety tips (like the ones listed above) to the campus community (students, faculty, and staff) annually via email and/or postings to the University's website but does not offer any other specific crime prevention training programs.

UOPX does not have any officially recognized student organizations with off campus (i.e., noncampus) locations and therefore does not monitor or record criminal conduct occurring at such locations.

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# Timely Warnings, Emergency Response, and Campus Alerts

In the event of a <u>Clery Act crime</u> occurring within <u>Clery Act geography</u> that in the judgment of Corporate Security, the Clery Compliance Officer, Campus Safety Coordinators, and the Security Operations

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Current UOPX Crisis Management Team members include the Senior Vice President & General Counsel, the Sr. Director of Corporate Security, the Director of Internal Communications, the Vice President of Public Affairs, the Vice President of Litigation Risk, the Vice President of Facilities, and the Chief Operating Officer. Additional backup members from each participating organization are also assigned to take the place of the primary member(s) if unavailable. The UOPX Crisis Management Team will assign backup individuals when appropriate.

The process to initiate the EMN system (AppArmor) is triggered as soon as the SOC receives notice of a significant emergency or dangerous situation involving an immediate threat to the health or safety of students, faculty, and/or staff. Upon notification, the SOC will immediately perform the following functions:

Use pre-templated messaging and EMN standard operating procedures to develop messaging content that can be used if an EMN is to be issued

Recommend which campus community segments should receive notifications

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The method(s) listed below may be utilized when the University issues a timely warning or emergency notification to the campus community.

Method	Sign Up Instructions
Text messaging, recorded messages to phones, and email notifications	No formal sign-up or opt-in required. Students, faculty, and staff are responsible, though, for ensuring current and accurate contact information is on file with the University.
Push notifications via the Phoenix Safe app	Free to download in the Google Play and Apple Store marketplaces and uses Single Sign On (SSO) (students/faculty use MyPhoenix credentials and staff use network credentials)

News releases, electronic alerts via intranet and internet, posters on entry doors and other accessible areas on campus

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# Drug and Alcohol Policy

UOPX has a "Zero-Tolerance" policy regarding

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Employee benefits and student assistance programs offer resources to assist with alcohol and drug addiction related issues

UOPX conducts a biennial review of its program to:

- o Determine its effectiveness and implement changes to the program if they are needed
- o Ensure that its disciplinary sanctions are consistent and enforced

### Drug and Alcohol Abuse Prevention and Counseling

Every local campus offers sexual assault awareness and prevention training annually to students, faculty, and staff. This program discusses how alcohol and other drugs can be a contributing factor in sexual assault. Please contact the Campus Safety Coordinator for information on where and when this education event will occur.

Student Resources: UOPX offers substance abuse and various counseling services to all currently enrolled students via the Life Resource Center, directly accessible from the student MyPhoenix website. The Life Resource Center offers services that are confidential, available 24/7/365, accessible by calling (844) 492-0546, and free to students.

Employee Resources: UOPX provides an Employee Assistance Program (EAP) as an employee benefit. All employees have access to EAP whether or not they obtain other benefits through the University. Information about the <u>EAP program</u> is available at all times through the *Benefit Central* site at <a href="https://uopx.uprisehealth.com/">https://uopx.uprisehealth.com/</a>. This benefit provides referral services and treatment sessions as needed. Calls and online inquiries are tracked by category. Employees enrolled in health care plans can obtain additional substance abuse benefits, including outpatient and inpatient services, through providers contracted with their health care plan.

UOPX also provides a mental wellness benefit, Ginger, for employees and their dependents age 18 and older. Ginger is an emotional support app that provides emotional support at the right time, including confidential coaching via text-based chats, self-guided activities, and video-based therapy and psychiatry—all from the privacy of a smartphone. Ginger coaches are available 24/7 and work as a team to ensure personalized care when it's needed.

National Resources: We encourage *anyone* dealing with substance abuse issues (not just our employees and students) to also contact national agencies for guidance and assistance in identifying a

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The Substance Abuse and Mental Health Services Administration's (SAMHSA) Behavioral Health Treatment Services Locator <a href="https://findtreatment.samhsa.gov/">https://findtreatment.samhsa.gov/</a>

Even if you do not want to speak with a counselor or referral service, you may also access the following free informational and educational videos to further educate yourself on alcohol and other drug abuse:

https://www.getsmartaboutdrugs.gov/

https://www.justthinktwice.gov/

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## Sanctions UOPX will Impose for Alcohol or Other Drugs Violations

The University will fully cooperate with federal, state, and local law enforcement agencies

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Federal Drug Possession Penalties (21 U.S.C. § 844) Persons convicted on federal charges of possessing any controlled substance face penalties of up to one year in prison, a mandatory fine of no less than \$1,000, or both. Second convictions are punishable by not less than 15 days but not more than two years in prison and a minimum fine of \$2,500. Subsequent convictions are punishable by not less than 90 days but not more than three years in prison and a minimum fine of \$5,000.

Category

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Category	Summary (Arizona Revised Statutes)
	fines up to \$2,500; class 3 misdemeanors are punishable by up to thirty days in prison and fines up to \$500. A.R.S. §§ 13-707; 13-802
Driving Under the Influence (DUI)	A person is guilty of a DUI if the person drives under the influence of intoxicating liquor, any drug, a vapor releasing substance containing a toxic substance or any combination of liquor, drugs, or vapor releasing substances if the person is impaired to the slightest degree, has a blood alcohol concentration of 0.08 or more within two hours of driving or being in actual physical control of the vehicle and the alcohol concentration results from alcohol consumed either before or while driving, or while there is any drug (as defined by statute) or its metabolite in the person's body. See A.R.S. § 28-1381. A person convicted of a DUI is guilty of a Class 1 misdemeanor (see sentences and fines above). See id.

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Crime Type (Arizona

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Attend events with friends you trust. Watch out for your friends and ask that they watch out for you. Show up together and leave together. If plans change, discuss with the group. Do not leave a friend

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Create a distraction to interrupt the situation.

Be confident when intervening.

Recruit help from others if necessary.

Be honest and direct.

Keep yourself safe.

If things get out of hand, don't hesitate to contact the police.

UOPX also conducts an Ongoing Prevention and Awareness Campaign (OPAC) aimed at all students and employees. The OPAC campaign strategies and initiatives promote awareness, education, risk reduction, and prevention to reduce the frequency of sex- or gender-based discrimination, harassment, and violence. This campaign covers the same material as provided in the PPAP but is intended to increase the understanding of students and employees on these topics and to improve their skills for addressing the offenses of dating violence, domestic violence, sexual assault, and stalking.

Programs and other campaigns offered throughout the year to all students and employees include strong messages regarding not just awareness, but also primary prevention (including bystander intervention), and discuss institutional policies on sex- or gender-based discrimination, harassment, and violence as well as federal and state definitions of domestic violence, dating violence, sexual assault, stalking, and consent in reference to sexual activity. Programs also offer information on risk reduction that strives to empower individuals who experience these incidents, how to recognize warning signs, and how to avoid potential attacks, and do so without biased approaches. Bystander engagement is encouraged through safe and positive intervention techniques and by empowering third-party intervention and prevention such as calling for help, using intervention-based apps, identifying allies, and/or creating distractions.

The PPAP and OPAC are carried out in a variety of ways, using a range of strategies, and, as appropriate, targeting specific audiences throughout the University. Methods include, but are not limited to, presentations, online training modules, distribution of written materials, periodic email blasts, and guest speakers. A summary of this programming is provided below.

Building Supportive Communities: Title IX and Clery Act Training. Staff and faculty must review and acknowledge this training every other year. This training provides employees with a better understanding of both Title IX and the Clery Act while outlining employee requirements to help keep the UOPX community safe and free from discrimination including sexual harassment, dating violence, domestic violence, sexual assault, and stalking.

Sexual & Interpersonal Violence Prevention and Response Course (SPARC). Online training module training for students in prevention of sexual, interpersonal, and related violence made available in late 2021. A hyperlink to access the training i

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The Campus Safety: Awareness, Prevention, and Action online course is always available via MyLearning to staff. This course discusses key information on environmental, medical, and criminal risks.

Sexual Assault Awareness and Prevention Training is offered annually to students, faculty, and staff virtually and at UOPX locations. This training includes:

o The definitions of dating violence, domestic violence, sexual assault, stalking, and consent, in reference to sexual activity

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Security Escort Service: Security personnel, where available, will provide an onsite safety escort service within the confines of the local campus to/from the parking facility if requested.

Persona Non Grata – A trespass notice may be issued for individuals who have violated University policy or who have demonstrated that they present a threat to anyone in the University community. Violators can be arrested for trespass.

### Other Information Covered by the PPAP and OPAC

The PPAP and OPAC also provides information on possible sanctions and protective/supportive measures that may be imposed following a determination that an offense of dating violence, domestic violence, sexual assault, or stalking has occurred, an explanation of the disciplinary procedures that will be followed when one of these offenses is alleged, the rights of the parties in such a proceeding, available resources, and other pertinent information, as described above and below.

If you are a victim of dating violence, domestic violence, sexual assault, or stalking, go to a safe place and call 9-1-1. You may also contact the SOC at (602) 557-7000 or (866) 992-3301, the University's Title IX Coordinator at (602) 557-1823, or the <a href="Ethics Helpline">Ethics Helpline</a> at (888) 310-9569 or <a href="http://www.UOPXhelpline.com">http://www.UOPXhelpline.com</a>. Complaints may be submitted to the Ethics Helpline anonymously; however, the Ethics, Compliance, and Data Privacy Department is required to report any instances of alleged sexual misconduct to the Title IX Coordinator.

Victims will be notified in writing of the procedures to follow, including:

- 1. To whom and how the alleged offense should be reported (contact the Title IX Coordinator or refer to the other resources listed in this report).
- 2. The importance of preserving evidence that may be necessary to prove the offense in a criminal proceeding or disciplinary action or to obtain a protective order.

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Completing a forensic examination does not require you to file a police report but having a forensic examination will help preserve evidence in case you decide at a later date to file a police report. Victims are also advised to retain evidence in electronic formats (e.g., text messages, emails, photos, social media posts, screenshots, etc.). Such evidence is valuable in all situations, and it may be the only type of evidence available in instances of stalking.

### Campus Security/Law Enforcement & How to Make a Police Report

Security Operations Center (SOC) (602) 557-7000 or (866) 992-3301

Phoenix Police Department, 620 W. Washington St., Phoenix, AZ 85003, (602) 262-6151

 To make a police report, a person should contact the local police agency listed above either by phone or in-person. As much information as possible should be provided, including name, address, and when and what occurred, to the best of the person's knowledge.

### Information about Legal Protection Orders

UOPX does not issue orders of protection. Orders of protection, restraining orders, injunctions, or similar lawful orders may be obtained through the court system and can be enforced by the University's

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as needed. UOPX also provides a mental wellness benefit, Ginger, for employees and their dependents age 18 and older. Ginger is an emotional support app that provides emotional support at the right time, including confidential coaching via text-based chats, self-guided activities, and video-based therapy and psychiatry—all from the privacy of a smartphone. Ginger coaches are available 24/7 and work as a team to ensure personalized care when it's needed.

Student Financial Aid – Sometimes a victim of a crime may feel the need to take a leave of absence from school. If a student is considering a leave of absence based on the circumstances of a complaint, they should understand there may be financial aid implications in taking such leave. This should be discussed with financial aid personnel, and the Title IX Coordinator can assist in facilitating this conversation if desired. Additional information on student financial aid is available at <a href="http://www.finaid.org/">http://www.finaid.org/</a> and <a href="http://www.finaid.org/">http://www.finaid.org/</a> and

### State/Local Resources

HonorHealth: (480) 312-6340

Tempe St. Luke's Hospital: (480) 784-5500

Arizona Crisis Hotline: (602) 222-9444 or (800) 631-1314

Arizona Victims Services: (602) 542-1853 or (866) 787-7233

Arizona Crime Victim Rights Law Group: (480) 946-0832

### **National Resources**

National Domestic Violence Hotline: (800) 799-7233

National Sexual Assault Hotline: (800) 656-4673

loveisrespect: (866) 331-9474, http://www.loveisrespect.org/, or text "LOVEIS" to 22522

Rape, Abuse, and Incest National Network (RAINN): https://www.rainn.org/

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Once a formal complaint of alleged domestic violence, dating violence, sexual assault, or stalking is made, the Title IX Coordinator will promptly provide written notice (i.e., a Notice of Allegations), as soon as practicable, to the parties, including a description of the process to be utilized, the identities of the involved parties (if known), the precise misconduct being alleged, the date and location of the alleged incident(s) (if known), the specific policies implicated, a description of the applicable procedures, a statement that the University presumes the Respondent is not responsible for the reported misconduct unless and until the evidence supports a different determination, a statement that determinations of responsibility are made at the conclusion of the process and that the parties will be given an opportunity to inspect and review all directly related and/or relevant evidence obtained during the review and comment period, and a statement that the parties may have an advisor of their choice (who may be, but is not required to be, an attorney).

During the investigation, both the Complainant and Respondent have an equal opportunity to describe the situation and present witnesses, including both fact and expert witnesses, and other supporting evidence. The investigator(s) will review the statements and evidence presented and may, depending on the circumstances, interview others with relevant knowledge, review documentary materials, and take any other appropriate action to gather and consider information relevant to the complaint. Prior to the conclusion of the investigation, both parties will be provided an opportunity to review the evidence gathered during the investigation that is directly related to the allegations raised in the formal complaint.

Upon completion of the investigation, both parties will be given a copy of an investigation report and a live hearing will be conducted to make a determination as to whether any allegations in the complaint were found to be substantiated by a preponderance of the evidence. During the hearing, each party's advisor will be permitted to ask the other party and any witnesses all relevant questions and follow-up questions including those bearing on credibility. The decision maker(s) will issue a written determination of responsibility, a statement of any disciplinary sanctions and whether any remedies will be provided to the Complainant, and a description of the procedures and permissible grounds for appeal. The parties will be notified simultaneously of this determination in writing within three (3) days of it being made. The institution strives to complete investigations of this nature within ninety (90) calendar days.

Both parties have an equal opportunity to appeal the determination by filing a written appeal with the Title IX Coordinator within ten (10) days of the delivery of the Notice of Outcome. The non-appealing party will be notified of the appeal and permitted to submit a written statement in response. A three-member Appeal Panel will resolve the appeal within three (3) business days of receiving all relevant documentation. All decisions are by majority vote and apply the preponderance of the evidence standard.

### Rights of the Parties in an Institutional Proceeding

- 1. A prompt, fair and impartial process from the initial investigation to the final result.
  - o A prompt, fair and impartial process is one that is:

Completed within reasonably prompt timeframes designated by the institution's policy, including a process that allows for the extension of timeframes for good cause, with written notice to the accuser and the accused of the delay and the reason for the delay.

Conducted in a manner that:





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### **Definitions**

### Criminal Offenses

Criminal Homicide—Murder and Nonnegligent Manslaughter – The willful (nonnegligent) killing of one human being by another.

Criminal Homicide—Manslaughter by Negligence - The killing of another person through gross negligence.

Sexual Assault—Sex Offenses<sup>1</sup> – Any sexual act directed against another person, without the consent of the victim, including instances where the victim is incapable of giving consent.

Rape – The penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person, without the consent of the victim.

Fondling – The touching of the private body parts of another person for the purpose of sexual gratification, without the consent of the victim, including instances where the victim is incapable of giving consent because of their age or because of their temporary or permanent mental incapacity.

Incest – Sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law.

Statutory Rape – Sexual intercourse with a person who is under the statutory age of consent.

Note: The University defines Consent as the equal approval, given freely, willingly, and knowingly of each participant to desired sexual involvement. Consent is an affirmative, conscious decision – indicated clearly by words or actions – to engage in mutually accepted sexual contact and can be withdrawn at any time. A person forced to engage in sexual contact by force, threat of force, or coercion has not consented to contact.

Robbery – The taking or attempting to take anything of value from the care, custody, or control of a person or persons by force or threat of force or violence and/or by putting the victim in fear.

Aggravated Assault – An unlawful attack by one person upon another for the purpose of inflicting severe or aggravated bodily injury.

Burglary - The unlawful entry of a structure to commit a felony or a theft.

Motor Vehicle Theft - The theft or attempted theft of a motor vehicle.

Arson – Any willful or malicious burning or attempt to burn, with or without intent to defraud, a dwelling house, public building, motor vehicle or aircraft, personal property of another, etc.

### Hate Crimes

In addition to the criminal offenses listed above, any of the additional following offenses that are motivated by bias:

Larceny-Theft – The unlawful taking, carrying, leading, or riding away of property from the possession or constructive possession of another. Attempted larcenies are included.

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<sup>&</sup>lt;sup>1</sup> Sexual Assault is also a Violence Against Women Act of 2013 (VAWA) Offense but is included in the Criminal Offenses category for Clery Act reporting purposes.



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Simple Assault - An unlawful physical attack by one person upon another where neither the offender

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or reasonably contiguous that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes.

### Noncampus

Any building or property owned or controlled by a student organization that is officially recognized by the institution; or any building or property owned or controlled by an institution that is used in direct support of, or in relation to, the institution's educational purposes, is frequently used by students, and is not within the same reasonably contiguous geographic area of the institution.

Note: The University does not have any officially recognized student organizations with off campus locations.

### Public Property

All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the campus.

### Phoenix Main Campus - Clery Act Geography

Legend: On-Campus, Public Property, Patrol Jurisdiction (when applicable)



Note: Patrol jurisdiction includes areas regularly patrolled during daylight hours only.

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